

# STANFORD BUSINESS SOFTWARE, INC. DNOPT™ 4.0 UPGRADE ORDER FORM (ACADEMIC)

*DNOPT™ is distributed as FORTRAN 77 source code electronically.*

<i>Product</i>	<i>License Type</i>		
	<i>Single CPU</i>	<i>Department</i>	<i>University site</i>
DNOPT™ 4.0	\$416	\$624	\$1,040
Upgrade from 3.0	\$416	\$416	\$520
Upgrade from all Earlier Versions	\$416	\$416	\$520
Shipping	Electronic: Free		

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2. Email this **completed form together** with **one signed fully completed copy** of the attached license agreement **UNAMENDED**.

Stanford Business Software, Inc.  
P.O. Box 60398  
Palo Alto, CA 94306-0398, USA  
(650) 856-1695  
sales-optimize@SBSI-SOL-Optimize.com

**NOTE:** If you must also generate a purchase order (with payment) please include the statement *ONLY THE TERMS OF THE STANFORD BUSINESS SOFTWARE LICENSE AGREEMENT WILL APPLY TO THIS ORDER.*

# **DNOPT™ 4.0 LICENSE AGREEMENT (ACADEMIC DEPARTMENT UPGRADE)**

This Agreement made effective as of the date executed by the last of the parties hereto, is entered into by and between STANFORD BUSINESS SOFTWARE, INC incorporated under the laws of the State of California, USA, (hereinafter referred to as “SBSI”) and

a University located at

(hereinafter referred to as the “LICENSEE”).

*SBSI is separate and independent from Stanford University.* SBSI has rights to license DNOPT™ to others based on an agreement between SBSI and THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY. This agreement is entered into by and between SBSI and the LICENSEE subject to the following terms and conditions:

## **1. BACKGROUND**

- (a) A computer software system designated DNOPT™ was developed at STANFORD UNIVERSITY and UC SAN DIEGO with grant support of the U.S. Government.
- (b) THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY has certain copyright rights by assignment in the Software Components described herein sufficient to enable it to grant the licenses granted herein.
- (c) LICENSEE wishes to acquire and SBSI is willing to grant nonexclusive rights to use DNOPT™.
- (d) THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY and SBSI have distributed DNOPT™ to certain organizations with no provision for support, on a nonexclusive, non-transferable basis.

## **2. LICENSE**

- (a) SBSI grants and the LICENSEE accepts a fully paid, nonexclusive, non-transferable, and perpetual license to use the DNOPT™ computer software system (hereinafter referred to as the “PROGRAM”) and associated documentation as SBSI has available subject to the terms hereof in a Department.
- (b) The PROGRAM furnished hereunder shall consist of FORTRAN source code.
- (c) The PROGRAM furnished hereunder has been used as a research tool and a design aid; it is not product-quality software. SBSI is providing the PROGRAM at LICENSEE’s request solely as “research data” on an “as is, with all defects” basis, without maintenance, debugging, support or improvements.
- (d) The associated PROGRAM documentation furnished hereunder shall consist of a DNOPT™ User’s Guide.
- (e) Copyright and trademark rights in the PROGRAM and associated documentation furnished hereunder are retained by THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY and THE REGENTS OF THE UNIVERSITY OF CALIFORNIA; the LICENSEE shall take all reasonable precautions to preserve THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY’s and THE REGENTS OF THE UNIVERSITY OF CALIFORNIA’s copyright and trademark therein. Nothing herein shall be construed as permitting LICENSEE (a) to use the mark “DNOPT” in connection with any product or service offered by LICENSEE, or (b) to exercise any right, except as specified in this Agreement, under copyright.

## **3. COMPUTER SYSTEMS**

- (a) LICENSEE agrees that the program shall be used only by the following department:

## **4. LICENSE FEE**

- (a) Upon execution of this Agreement, LICENSEE agrees to pay to SBSI a one-time upgrade to DNOPT™ 4.0 royalty license fee as specified in the order form plus all applicable sales, use, excise, and similar taxes. Payment shall be made in United States Currency by credit card, check, or bank wire transfer for the exact aforementioned amount made payable to “STANFORD BUSINESS SOFTWARE, INC.” Payment shall be sent with one fully completed executed copy of this Agreement electronically or mailed to Stanford Business Software, Inc., P.O. Box 60398, Palo Alto, CA 94306-0398, USA.

## 5. **TERM**

- (a) This Agreement shall become effective on the date that it is accepted by SBSI and shall remain in effect until the LICENSEE discontinues use of the licensed PROGRAM provided hereunder.
- (b) Upon termination of this Agreement, LICENSEE shall either (i) destroy all copies of the PROGRAM; or (ii) return all copies to SBSI.

## 6. **SCOPE OF LICENSE**

- (a) The license granted hereunder and the licensed PROGRAM may not be assigned, sublicensed or otherwise transferred by the LICENSEE, other than to wholly owned subsidiaries of the LICENSEE.

## 7. **DELIVERY OF SOFTWARE**

- (a) Upon acceptance of this Agreement by SBSI and receipt of the royalty fee set forth in Paragraph 4 hereof, SBSI agrees to deliver the PROGRAM, the associated documentation as set forth in Paragraph 2 hereof, and one fully executed copy of this Agreement to the LICENSEE.
- (b) The LICENSEE agrees to accept the PROGRAM as delivered and it is understood that SBSI will provide no maintenance, installation services, debugging, improvements, or support of any kind.
- (c) A fee based support may however be made available on request.

## 8. **LIMITATION OF LIABILITY**

- (a) SBSI MAKES NO REPRESENTATIONS OR WARRANTIES, EXPRESS OR IMPLIED. BY WAY OF EXAMPLE, BUT NOT LIMITATION, SBSI MAKES NO REPRESENTATIONS OR WARRANTIES OF MERCHANTABILITY OR FITNESS FOR ANY PARTICULAR PURPOSE, OR THAT THE USE OF THE LICENSED SOFTWARE COMPONENTS OR DOCUMENTATION WILL NOT INFRINGE ANY PATENTS, COPYRIGHTS, TRADEMARKS OR OTHER RIGHTS. SBSI SHALL NOT BE HELD LIABLE FOR ANY LIABILITY NOR FOR ANY DIRECT, INDIRECT, EXEMPLARY, INCIDENTAL, OR CONSEQUENTIAL DAMAGES WITH RESPECT TO ANY CLAIM BY LICENSEE OR ANY THIRD PARTY ON ACCOUNT OF OR ARISING FROM THIS AGREEMENT OR USE OF THE PROGRAM.

## 9. **PROGRAM IMPROVEMENTS**

- (a) Changes made by the LICENSEE to the PROGRAM, which would not constitute a copyright infringement to the PROGRAM, are the sole property of the LICENSEE. However, upon request, LICENSEE may provide SBSI with a royalty-free, non-exclusive license to such changes upon mutually agreeable terms and conditions.

## 10. **TITLE**

- (a) THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY and THE REGENTS OF THE UNIVERSITY OF CALIFORNIA reserves the right to grant any rights to the PROGRAM to other persons or entities upon such terms and conditions as THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY and THE REGENTS OF THE UNIVERSITY OF CALIFORNIA shall accept.
- (b) The LICENSEE agrees not to alter, change, or remove from the PROGRAM any identifications, including copyright and trademark notices, which indicate ownership thereof by THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY and THE REGENTS OF THE UNIVERSITY OF CALIFORNIA or others.

## 11. **USE RESTRICTIONS**

- (a) The LICENSEE agrees that the PROGRAM is provided solely for the use of the LICENSEE.
- (b) The LICENSEE agrees not to knowingly duplicate, provide, or otherwise make available the PROGRAM or any other material provided in support of the PROGRAM, including but not limited to documentation and the PROGRAM code, in any form, to any person, party, or entity without the prior written consent of SBSI. Such prohibitions shall not apply to disclosure or duplication by the LICENSEE to its employees and consultants to the extent that such disclosure is reasonably necessary to the LICENSEE's use of the PROGRAM.
- (c) The LICENSEE agrees not to use any portion of the PROGRAM in any machine-readable form outside the scope of this license agreement.
- (d) The LICENSEE agrees that it will take appropriate action with its employees and consultants, by agreement or otherwise, to satisfy its obligations under this Agreement with respect to use, copying, transference, protection, and security of the PROGRAM, and any other materials provided by SBSI to the LICENSEE as a result of this Agreement.

## 12. **GOVERNING LAW**

The DNOPT™ software, ideas, and accompanying documentation are protected by the United States Copyright law and by the International Treaty provisions. This Agreement shall be construed, interpreted, and applied in accordance with the laws of the State of California.

## 13. **INTERPRETATION**

- (a) Alterations of or additions to this Agreement shall be made only in writing and duly executed by representatives of both parties. No waiver shall be binding unless signed by the party to be charged.
- (b) This Agreement cancels and supersedes all previous statements, verbal or written, concerning the dealings between the parties regarding the rights granted hereunder and represents the complete agreement between the parties with respect to those rights.

## 14. **EXPORT**

LICENSEE warrants that LICENSEE will not export or reexport, directly or indirectly: (i) the Program or any portion thereof, or (ii) any direct product (including equipment, processes or services) produced by use of the Program, or (iii) any product of a complete plant or of the Program, to any country except when such export or reexport is authorized in full compliance with the laws and regulations of the United State of America.

Only the terms of this license agreement will apply; no other terms, whether they be on a purchase order or elsewhere, will apply to the purchase and use of DNOPT™.

IN WITNESS WHEREOF, the parties hereto have executed this instrument as of the dates given below:

STANFORD BUSINESS SOFTWARE, INC.

Sign: \_\_\_\_\_

Name:

Title:

Date:

By signing this agreement I verify that I am authorized to accept the terms and conditions of this License Agreement for the institution specified below.

LICENSEE:

Academic Institution

Sign: \_\_\_\_\_

Institutional Representative

Name:

Title:

Date: